

# Bicompleteness Theorems for Team Logics with the Dual Negation

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Helsinki Logic Seminar

# Overview

Burgess [Bur03] showed that the [dual/game-theoretical negation](#) of [independence-friendly logic \(IF\)](#) [HS89; Hin96] and [dependence logic \(D\)](#) [Vä07] exhibits an extreme degree of semantic indeterminacy. We show analogues of this result for different logics.

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## 3. Some remarks on interpretations of the theorem

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Henkin quantifier logic (H) [Hen61] extends FO with Henkin quantifiers:

$$\left( \begin{array}{cc} \forall x & \exists y \\ \forall u & \exists v \end{array} \right) \phi(x, y, u, v). \quad (1)$$

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(1)-(3) are equivalent to the **existential second-order (ESO)** sentence  $\exists f \exists g \forall x \forall u \phi(x, f(x), u, g(u))$ .

(Cf. the FO-sentence  $\forall x \exists y \forall u \exists v \phi(x, y, u, v)$ ).

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On the level of sentences, each of IF, D, and the prenex fragment of H ( $H_p$ ) is equivalent to ESO

[End70; Wal70; Hin96; V07]  $\implies$  these logics are not closed under classical negation.

# Game-Theoretical Semantics for FO and IF

The motivation for adopting the dual negation in IF comes from its original semantics, which were game-theoretical.

## Informal game-theoretical semantics for FO

Two players:  $I$  and  $II$ . In the game  $G(M, s, \phi, i)$  ( $i \in \{I, II\}$ ),  $i$  tries to verify the formula  $\phi$  given model  $M$  and assignment  $s$ ; the other player tries to falsify it. Rules:

- $\phi$  is atomic: The verifier wins  $G(M, s, \phi, i)$  if  $M \models_s \phi$ . The falsifier wins if  $M \not\models_s \phi$
- $\phi$  is  $\psi \vee \chi$ : The verifier picks  $\theta := \psi$  or  $\theta := \chi$ . Now play the game  $G(M, s, \theta, i)$ .
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We define  $M \models_s \phi : \iff i$  has a winning strategy in the game  $G(M, s, \phi, i)$ . By the Gale-Stewart Theorem, one of the players always has a winning strategy, so  $M \not\models_s \phi \iff M \models_s \neg\phi$ .

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This is equivalent to the standard Tarskian satisfaction definition. In particular, the game-theoretical negation of FO is just the classical negation of FO.

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$\phi$  is  $(\exists x/W)\psi$ : The verifier picks  $a \in M$  without knowing the values picked for variables in the set  $W$  (without knowing  $s(y)$  for  $y \in W$ ). Now play the game  $G(M, s(a/x), \psi, i)$ .

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## Matching pennies game in IF [MSS11]

Each player has a coin that they secretly turn to heads or tails. The coins are revealed simultaneously.  $I$  wins if the coins are both heads or both tails. Otherwise  $II$  wins.

We can model this using the game  $G(M, \emptyset, \phi, I)$  where  $\phi$  is the IF-sentence

$$\forall x(\exists y/\{x\})x = y$$

and  $M$  is a model with domain  $\{h, t\}$ . Neither player has a winning strategy, so  $M \not\models \phi$  and  $M \not\models \neg\phi$ :

$II$  does not have a winning strategy: all they can do is choose the value of  $x$ . If they choose  $h$ , they cannot guarantee that  $I$  will not choose  $h$ .

$I$  does not have a winning strategy:  $II$  first chooses the value of  $x$ .  $I$  does not know which value was picked, so they cannot ensure that they pick the same value for  $y$ .

# The Dual Negation

Another way to formulate the semantics of the negation in IF: define the semantics for negation-free formulas and for  $\neg\phi$  where  $\phi$  is atomic as usual. Then let:

$$\neg(\phi \vee \psi) := \neg\phi \wedge \neg\psi$$

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$$\neg\exists x\phi := \forall x\neg\phi$$

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Hence the name 'dual negation'.

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Furthermore, **preservation of equivalence under replacement fails**: e.g.,

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Put another way, let  $\|\phi\| := \{M \mid M \models \phi\}$ . We may think of  $\|\phi\|$  as the meaning of  $\phi$ . Then the meaning of  $\phi$  does not determine the meaning of  $\neg\phi$ :

$$\|\neg\forall x(\exists y/\{x\})x=y\| = \|\perp\| = \emptyset \quad \text{but} \quad \|\neg\neg\forall x(\exists y/\{x\})x=y\| \neq \|\neg\perp\|.$$

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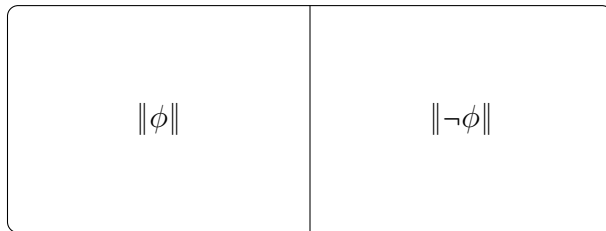
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We do know that  $\phi$  and  $\neg\phi$  are **incompatible** in that it is never the case that  $M \models \phi$  and  $M \models \neg\phi$  (i.e.,  $\|\phi\| \cap \|\neg\phi\| = \emptyset$ ).

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# Burgess' Theorem

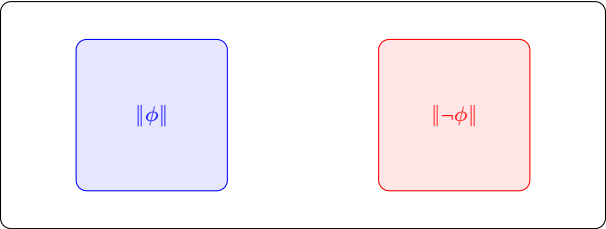
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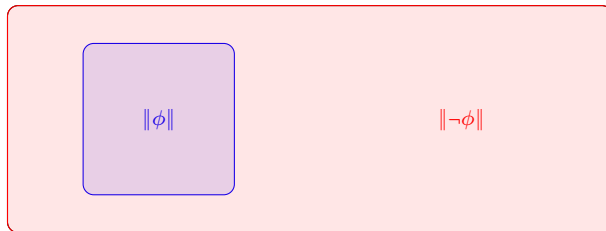
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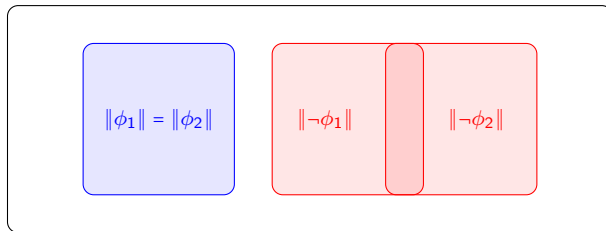
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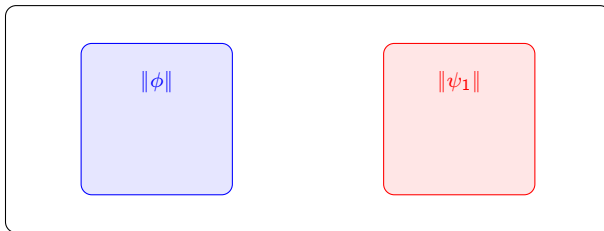


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Burgess: for any IF-sentences  $\phi$  and  $\psi$ , if  $\|\phi\|$  and  $\|\psi\|$  are disjoint, there is an IF-sentence  $\theta$  with  $\|\theta\| = \|\phi\|$  and  $\|\neg\theta\| = \|\psi\|$ .

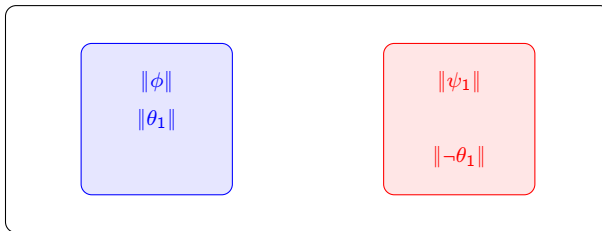


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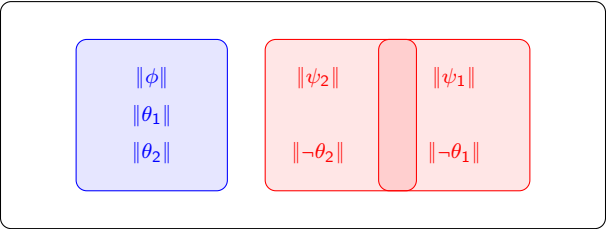


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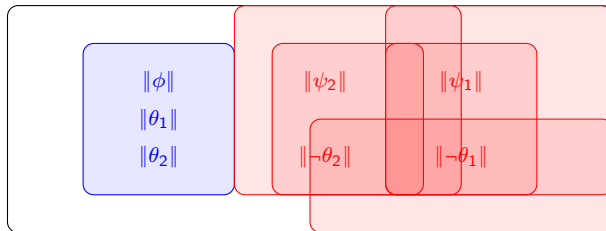


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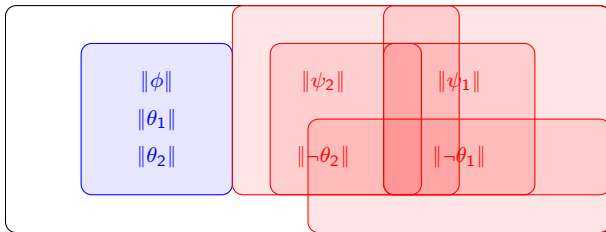


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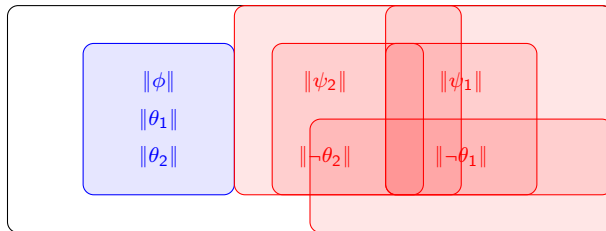


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E.g., we can have a sentence  $\phi$  with  $\phi \equiv$  "Jouko is in Helsinki" and  $\neg\phi \equiv$  "Jouko is in London drinking tea, and it is Tuesday."

We assume  $|dom(M)| \geq 2$  for all models  $M$ .

**Lemma:** There is  $\theta_0$  s.t.  $\theta_0 \equiv \perp \equiv \neg\theta_0$ . (E.g. the matching pennies sentence  $\forall x(\exists y/\{x\})x = y$ .)

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For any IF-sentences  $\phi, \psi$ , 1 implies 2:

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## Bicompleteness Theorem for IF

IF is bicomplete for  $\{(P, Q) \mid P, Q \in \|ESO\|, P \cap Q = \emptyset\}$ , and hence bicomplete for disjoint pairs.

## Proof.

$\|IF\|^{\pm, \neg} \subseteq \{(P, Q) \mid P, Q \in \|ESO\|, P \cap Q = \emptyset\}$  by  $\|IF\| \subseteq \|ESO\|$  and the converse of Burgess' theorem.  
 $\|IF\|^{\pm, \neg} \supseteq \{(P, Q) \mid P, Q \in \|ESO\|, P \cap Q = \emptyset\}$  by  $\|IF\| \supseteq \|ESO\|$  and Burgess' theorem.





## Burgess/Bicompleteness Theorem for Formulas

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Assume we have defined notions  $\|\phi\|$ , pair properties, etc. appropriate for formulas. E.g.  $\|\phi\| = \{(M, X) \mid M \models_X \phi\}$ .

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We have, by [KV11] and Kontinen and Väänänen's expressivity result for D-formulas [KV09]:

### Burgess & Bicompleteness Theorem for D-formulas

$\|D\|^{\perp, \neg} = \{(P, Q) \mid P, Q \text{ expressible in a specific way by a downward-closed ESO-sentence with the empty team property; and } P \text{ and } Q \text{ are } \perp\text{-incompatible}\}$ .

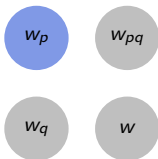
D is bicomplete for  $\perp$ -incompatible pairs.

# Burgess/Bicompleteness Theorems for Propositional and Modal Team Logics

In propositional/modal **team semantics**, formulas are evaluated w.r.t. propositional/modal **teams**: sets of valuations/possible worlds:

single-world semantics

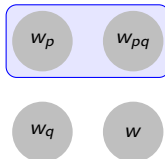
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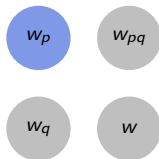
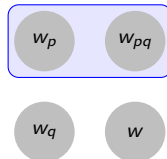
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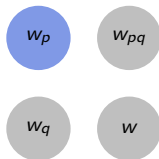
In the propositional setting, for  $X$  is a finite set of propositional variables,  $P$  a team property (class of teams), and  $\mathbb{P}$  a pair property (class of pairs of team properties), we let  $\|\phi\| := \{t \mid t \models \phi\}$ ;  $\|\phi\|_X := \{t \subseteq 2^X \mid t \models \phi\}$ ;  $\|L\| := \{\|\phi\| \mid \phi \in L\}$ ;  $P_X := \{Q \subseteq \wp(2^X) \mid Q \in P\}$ ;  $\|\phi\|^{\pm, \neg} := (\|\phi\|, \|\neg\phi\|)$ ;  $\|\phi\|_X^{\pm, \neg} := (\|\phi\|_X, \|\neg\phi\|_X)$ ;  $\|L\|^{\pm, \neg} := \{\|\phi\|^{\pm, \neg} \mid \phi \in L\}$ ;  $\mathbb{P}_X := \{(P, Q) \in \mathbb{P} \mid P, Q \subseteq \wp(2^X)\}$ .

# Burgess/Bicompleteness Theorems for Propositional and Modal Team Logics

In propositional/modal **team semantics**, formulas are evaluated w.r.t. propositional/modal **teams**: sets of valuations/possible worlds:

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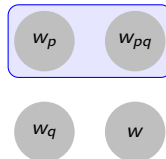
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$L$  is **expressively complete** for  $P$  if  $\|L\|_X = P_X$  for all finite  $X$ .

$L$  is **bicomplete** for  $\mathbb{P}$  (w.r.t.  $\neg$ ) if  $\|L\|_X^{\pm, \neg} = \mathbb{P}_X$  for all finite  $X$ .

$L$  is **bicomplete** for  $\mathbb{P}$  (w.r.t.  $\neg$ ) if it is bicomplete for  $\mathbb{P} \cap \|L\|^2$  (w.r.t.  $\neg$ ).

## Bilateral State-Based Modal Logic

Aloni (2022) introduces a modal logic employing team semantics, [Bilateral State-based Modal Logic](#) to account for free choice inferences and related phenomena.

Free choice:

You may have coffee or tea.

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Teams represent speakers' information states. BSML has a **bilateral** semantics with two primitive semantic relations, **support**  $\models$  and **anti-support**  $\models^*$ . As with many other bilateral systems, the bilateralism in BSML is linked with the view that both assertion and rejection conditions must figure in meanings:

$$s \models \phi$$

represents

" $\phi$  is assertable in state  $s$ "

$$s \models \phi$$

represents

" $\phi$  is rejectable in state  $s$ "

The bilateral semantics are used to define a **bilateral negation**:

$$s \models \neg \phi$$

iff

$$s \models \phi$$

The negation is designed to ensure one also gets predictions such as the following:

Dual prohibition:

You are not allowed to eat the cake or the ice cream.

→ You are not allowed to eat either one.

Syntax and semantics:

$$\phi ::= p \mid \perp \mid \neg\phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \Diamond \phi \mid \text{NE}$$

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$$\phi ::= p \mid \perp \mid \neg\phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \Diamond \phi \mid \text{NE}$$

$$s \models p \iff \forall w \in s : w \in V(p)$$

$$s \models p \iff \forall w \in s : w \notin V(p)$$

$$s \models \perp \iff s = \emptyset$$

$$s \models \perp \text{ always}$$

$$s \models \neg\phi \iff s \models \phi$$

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$$s \models \phi \vee \psi \iff \exists t, t' : t \cup t' = s \text{ and } t \models \phi \text{ and } t' \models \psi$$

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$$s \models \text{NE} \iff s \neq \emptyset$$

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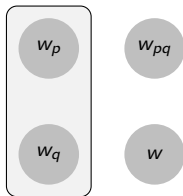
$$s \models \Diamond \phi \iff \forall w \in s : \exists t \subseteq R[w] : t \neq \emptyset \text{ and } t \models \phi$$

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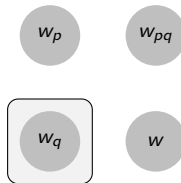
$$R[w] = \{v \mid wRv\}$$

## Split disjunction $\vee$

$$\begin{aligned}
 s \models \phi \vee \psi &\iff \exists t, t' : && t \cup t' = s && \text{and} \\
 &&& t \models \phi && \text{and} \\
 &&& t' \models \psi \\
 s \models \phi \vee \psi &\iff s \models \phi && \text{and} && s \models \psi
 \end{aligned}$$



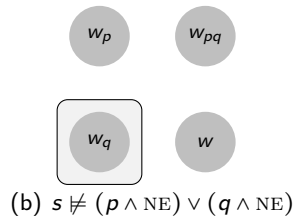
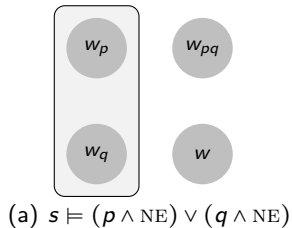
(a)  $s \models p \vee q$



(b)  $s \models p \vee q$

## The non-emptiness atom NE

$$\begin{aligned} s \models \text{NE} &\iff s \neq \emptyset \\ s \models \text{NE} &\iff s = \emptyset \end{aligned}$$

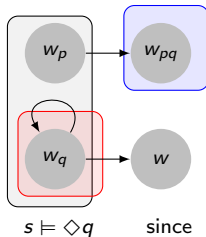




The modality  $\Diamond$

$$R[w] = \{v \in W \mid wRv\}$$

$$s \models \Diamond \phi \iff \forall w \in s : \exists t \subseteq R[w] : t \neq \emptyset \text{ and } t \models \phi$$



$$\begin{aligned} \{w_q\} &\subseteq R[w_q] \\ \{w_q\} &\models q \end{aligned}$$

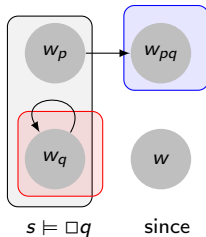
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# Closure properties

## Definition

$\phi$  is *downward closed*:

$$[s \models \phi \text{ and } t \subseteq s] \implies t \models \phi$$

$\phi$  is *union closed*:

$$[s \models \phi \text{ for all } s \in S \neq \emptyset] \implies \bigcup S \models \phi$$

$\phi$  has the *empty team property*:

$$\emptyset \models \phi$$

$\phi$  is *flat*:

$$s \models \phi \iff \{v\} \models \phi \text{ for all } v \in s$$

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Formulas of *classical modal logic ML* (the NE-free fragment of *BSML*) are flat and their team semantics coincide with their standard semantics on singletons:

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Therefore, *BSML* is an extension of classical modal logic (K):

$$\text{for } \Xi \cup \{\alpha\} \subseteq ML: \quad \Xi \models \alpha \text{ (in team semantics)} \iff \Xi \models \alpha \text{ (in standard semantics)}$$

(a)  $s \models (p \wedge \text{NE}) \vee (q \wedge \text{NE})$

(b)  $s \not\models (p \wedge \text{NE}) \vee (q \wedge \text{NE})$

for all finite  $X$ .

The following dual equivalences hold for the negation (where  $\Box := \neg \Diamond \neg$ ):

$$\neg\neg\phi \equiv \phi$$

$$\neg\text{NE} \equiv \perp$$

$$\neg \Diamond \phi \equiv \Box \neg \phi$$

$$\neg(\phi \vee \psi) \equiv \neg\phi \wedge \neg\psi$$

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We define the following abbreviation:

**Strong contradiction**  $\perp\!\!\!\perp := \perp \wedge NE$ .  $s \models \perp\!\!\!\perp$  is never the case.

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As with IF and D, replacement of equivalents does not hold under negation:

$$\neg NE \equiv \perp \text{ but } \neg\neg NE \equiv NE \not\equiv \top \equiv \neg\perp.$$

## Adapting Burgess' Theorem for BSMML

Kontinen and Väänänen's Burgess theorem for D employs the following notion of incompatibility (reformulated for the propositional/modal setting):

$\phi$  and  $\psi$  are  $\perp$ -incompatible :

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$\phi, \psi \models \perp$

$t \models \phi$  and  $t \models \psi$  implies  $t = \emptyset$

<sup>1</sup>Strictly speaking in the modal setting,  $|\phi| = \{(M, w) \mid \exists (M, s) \in \|\phi\| : w \in s\}$ .

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Now assume for contradiction that Burgess Theorem using  $\perp$ -incompatibility holds for BSML. Take  $\phi := p$  and  $\psi := ((p \wedge \text{NE}) \vee (\neg p \wedge \text{NE}))$ .

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Then  $\phi, \psi \models \perp \models \perp$ , so by Burgess there is  $\theta$  with  $\theta \equiv \phi$  and  $\neg\theta \equiv \psi$ .

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Consider the teams  $\{w_p\}$  and  $\{w_p, w_{\neg p}\}$ .

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<sup>1</sup>Strictly speaking in the modal setting,  $|\phi| = \{(M, w) \mid \exists (M, s) \in \|\phi\| : w \in s\}$ .

## Adapting Burgess' Theorem for BSML

Kontinen and Väänänen's Burgess theorem for D employs the following notion of incompatibility (reformulated for the propositional/modal setting):

$$\begin{array}{ll}
 \phi \text{ and } \psi \text{ are } \perp\text{-incompatible} : & \phi, \psi \models \perp \\
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This does not work with BSML. Let the **ground team**  $|\phi|$  of  $\phi$  be  $\bigcup \|\phi\|$ .<sup>1</sup> Define the following stronger incompatibility notion:

$$\begin{array}{ll}
 \phi \text{ and } \psi \text{ are } \text{ground-incompatible} : & |\phi| \cap |\psi| = \emptyset \\
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**Lemma:** For  $\phi \in \text{BSML}$ ,  $\phi$  and  $\neg\phi$  are ground-incompatible.

Now assume for contradiction that Burgess Theorem using  $\perp$ -incompatibility holds for BSML.

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<sup>1</sup>Strictly speaking in the modal setting,  $|\phi| = \{(M, w) \mid \exists (M, s) \in \|\phi\| : w \in s\}$ .

We have already noted that  $\|BSML\| \subseteq \{(P, Q) \mid P, Q \text{ ground-incompatible}\}$ —the converse of a ground-incompatibility Burgess theorem for *BSML*.

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We now further show the Burgess and bicompleteness theorems:

Burgess/Bicompleteness Theorem for *BSML*

The following are equivalent:

- $|\phi| \cap |\psi| = \emptyset$  (i.e.,  $[s \models \phi \text{ and } t \models \psi] \implies s \cap t = \emptyset$ )
- There is a  $\theta$  such that  $\phi \equiv \theta$  and  $\psi \equiv \neg\theta$ .

Therefore,

$$\|BSML\|_{X}^{\pm, \neg} = \{(P, Q) \mid P, Q \text{ union closed, convex and invariant under bounded bisimulation; } P \text{ and } Q \text{ ground-incompatible}\}_X$$

for all finite  $X$ .  
So *BSML* is bicomplete for ground-incompatible pairs.

**Lemma 1:** There is  $\theta_0$  s.t.  $\theta_0 \equiv \perp \equiv \neg\theta_0$ .  
 Let  $\theta_0 := \Diamond(\bot \vee \neg \bot)$ . Then:

$$\begin{array}{ccccccc} \neg \Diamond(\bot \vee \neg \bot) & \equiv & \Box \neg(\bot \vee \neg \bot) & \equiv & \Diamond(\bot \vee \neg \bot) & \equiv & \Diamond \bot \equiv \perp \\ \neg \Diamond(\bot \vee \neg \bot) & \equiv & \Box \neg(\bot \vee \neg \bot) & \equiv & \Box(\neg \bot \wedge \bot) & \equiv & \Box \bot \equiv \perp \end{array}$$



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**Separation Theorem:** If  $|\phi| \cap |\psi| = \emptyset$ , then there is an  $\eta$  s.t.  $\phi \models \eta$  and  $\psi \models \neg\eta$ .  
 (Follows from Craig's interpolation for  $ML$ .)

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**Lemma 2:** For any  $\phi$ , there is  $\phi'$  such that  $\phi \equiv \phi'$  and  $\neg\phi'$  has the empty team property.  
 (Define  $\phi'$  by putting  $\phi$  in negation normal form and replacing each  $\neg_{NE}$  by  $\perp$ .)

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Proof.

1  $\implies$  2: Let  $\phi_0 := \phi' \vee \theta_0$  and  $\psi_0 := \psi' \vee \theta_0$  with  $\theta_0$  from [Lemma 1](#) and  $\phi', \psi'$  from [Lemma 2](#). Then:

$$\begin{array}{ccccccc} \phi_0 & \equiv & \phi' \vee \theta_0 & \equiv & \phi' \vee \perp & \equiv & \phi \\ \neg \phi_0 & \equiv & \neg(\phi' \vee \theta_0) & \equiv & \neg \phi' \wedge \neg \theta_0 & \equiv & \neg \phi' \wedge \perp \equiv \perp \end{array}$$

Similarly  $\psi_0 \equiv \psi$  and  $\neg\psi_0 \equiv \perp$ .

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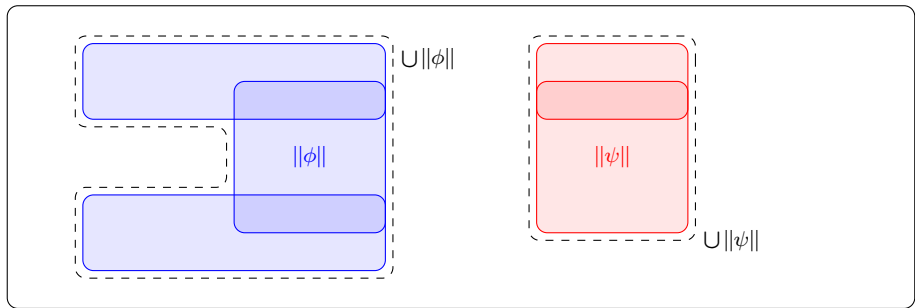
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Similarly  $\psi_0 \equiv \psi$  and  $\neg\psi_0 \equiv \perp$ . By Separation let  $\eta$  be s.t.  $\phi_0 \models \eta$  and  $\psi_0 \models \neg\eta$ .

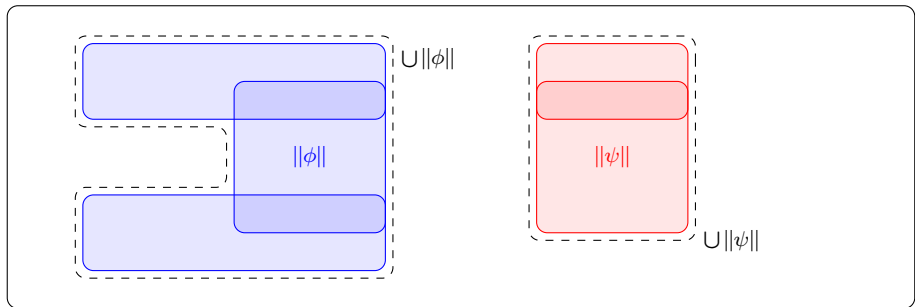
Let  $\theta := \phi_0 \wedge (\neg\psi_0 \vee \eta)$ . Then:

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$\phi, \psi$  are **ground-incompatible** if  $[t \models \phi \text{ and } s \models \psi] \implies s \cap t = \emptyset$ . Equivalently,  $|\phi| \cap |\psi| = \bigcup \|\phi\| \cap \bigcup \|\psi\| = \emptyset$ .)



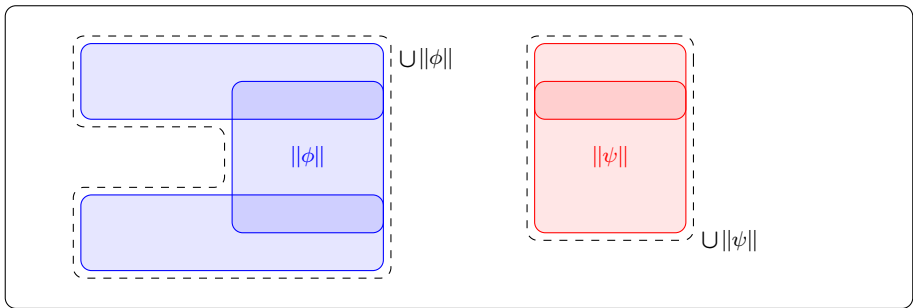
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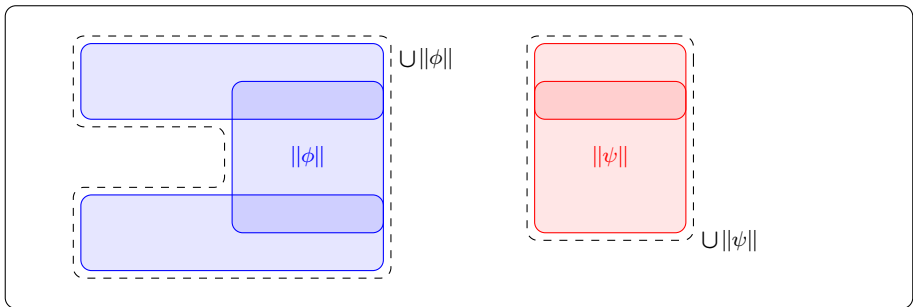
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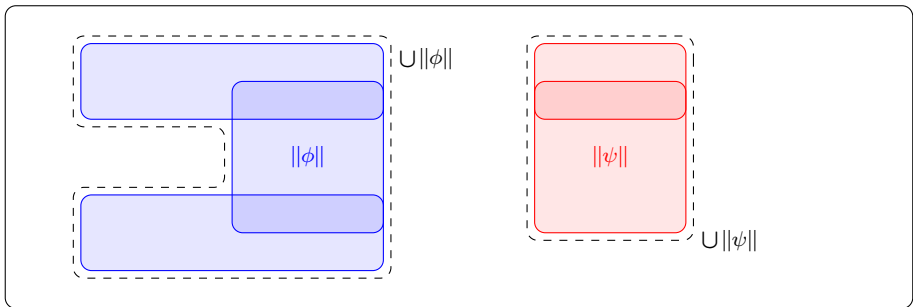
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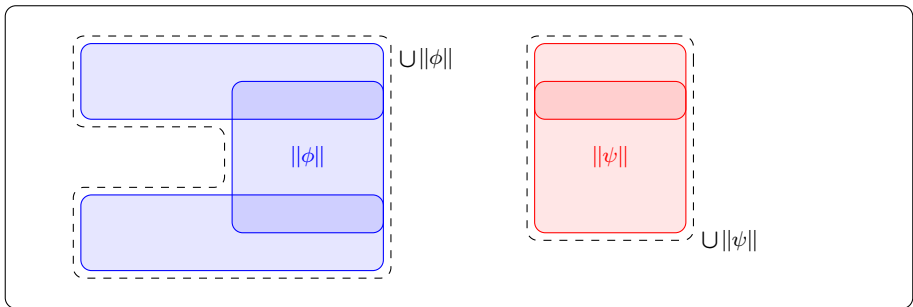
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Cf. **epistemic contradictions**: It is raining but it might not be raining.

$\blacklozenge r \wedge \neg r \models \perp$  but  $|\blacklozenge r| \cap |\neg r| = |T| \cap |\neg r| = |\neg r| \neq \emptyset$ .

More incompatibility notions/pair properties and bicompleteness results from the paper:

Pair property	Definition(s)	Bicomplete logics
$\perp$ -incompatible ( $\perp i$ )	<ul style="list-style-type: none"> <li>• <math>[s \models \phi_0 \text{ and } s \models \phi_1] \implies s = \emptyset</math></li> <li>• <math>\phi_0, \phi_1 \models \perp</math></li> <li>• <math>\perp i + ET</math> or <math>\perp i</math></li> </ul>	D, IF
Ground-incompatible ( $gi$ )	<ul style="list-style-type: none"> <li>• <math>[s \models \phi_0 \text{ and } t \models \phi_1] \implies s \cap t = \emptyset</math></li> <li>• <math> \phi_0  \cap  \phi_1  = \emptyset</math></li> </ul>	D, IF, <i>BSML</i> , <i>BSML</i> <sup>W</sup> , <i>PL</i> (NE, W)
$\perp\!\!\!\perp$ -incompatible ( $\perp\!\!\!\perp i$ )	<ul style="list-style-type: none"> <li>• <math>\phi_0</math> and <math>\phi_1</math> never jointly true</li> <li>• <math>\phi_0, \phi_1 \models \perp\!\!\!\perp</math></li> <li>• <math>\ \phi_0\  \cap \ \phi_1\  = \emptyset = \ \perp\!\!\!\perp\ </math></li> </ul>	
$\perp$ -incompatible and empty team prop. ( $\perp i + NE$ )	<ul style="list-style-type: none"> <li>• <math>[s \models \phi_0 \text{ and } s \models \phi_1] \iff s = \emptyset</math></li> <li>• <math>\phi_0, \phi_1 \models \perp</math> and <math>\phi_0, \phi_1 \not\models \perp\!\!\!\perp</math></li> <li>• <math>\ \phi_0\  \cap \ \phi_1\  = \{\emptyset\} = \ \perp\ </math></li> </ul>	D, IF
World-complementary ( <i>wc</i> )	• $\{w\} \models \phi_0 \iff \{w\} \not\models \phi_1$	<i>PL</i> ( $\neq(\cdot)$ ), <i>PL</i>
Team-complementary ( <i>tc</i> )	<ul style="list-style-type: none"> <li>• <math>s \models \phi_i \iff s \not\models \phi_{1-i}</math></li> <li>• <math>\ \phi_i\  = \ \top\  \setminus \ \phi_{1-i}\ </math></li> </ul>	<i>PL</i> ( $\sim$ ) (w.r.t. $\sim$ )
Flat-complementary ( <i>fc</i> )	<ul style="list-style-type: none"> <li>• <i>wc</i> and <math>\phi_0, \phi_1</math> flat</li> <li>• <math>\ \phi_i\  = \wp( \top  \setminus  \phi_{1-i} )</math></li> <li>• <math>\ \phi_i\  = \{s \mid t \models \phi_{1-i} \implies s \cap t = \emptyset\}</math></li> <li>• <math>\ \phi_i\  = \bigcup \{P \subseteq \ \top\  \mid P, \ \phi_{1-i}\  \text{ G-I}\}</math></li> </ul>	<i>PL</i>
$\phi_1$ down-set complement ( <i>dc</i> ) of $\phi_0$	<ul style="list-style-type: none"> <li>• <math>s \models \phi_1 \iff [[t \models \phi_0 \text{ and } t \subseteq s] \implies t = \emptyset]</math></li> </ul>	<i>InqB</i> (w.r.t. $\neg_i$ ), <i>PL</i>
Down-set complements (on either side) ( <i>dce</i> )	• $\phi_1$ <i>dc</i> of $\phi_0$ or $\phi_0$ <i>dc</i> of $\phi_1$	<i>HS</i> , <i>PL</i>
Ground-complementary ( <i>gc</i> )	• $ \phi_i  =  \top  \setminus  \phi_{1-i} $	<i>PL</i> ( $\neq(\cdot)$ ), <i>PL</i>
Ground-complementary mod $\perp\!\!\!\perp$	• $ \phi_i  =  \top  \setminus  \phi_{1-i} $ or $\phi_0 \equiv \perp\!\!\!\perp$ or $\phi_1 \equiv \perp\!\!\!\perp$	<i>PL</i> (NE), <i>PL</i> ( $\neq(\cdot)$ ), <i>PL</i>
All pairs		<i>PL</i> (NE*, W)

[illegible]

# Propositional Dependence Logic with the Dual Negation

Propositional dependence logic  $PL(=())$ , similarly to D, extends classical propositional logic  $PL$  with dependence atoms:

Syntax of  $PL(=())$ :

$$\phi ::= p \mid \perp \mid \neg\phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid =(p_1, \dots, p_n, q)$$

Bilateral semantics for dependence atoms:

$$s \models =(p_1 \dots, p_n, q) \quad : \iff \quad \forall v, w \in s : [v \models p_i \iff w \models p_i \text{ for all } \forall 1 \leq i \leq n] \implies [v \models q \iff w \models q]$$

$$s \models\!\!\!\models =(p_1 \dots, p_n, q) \quad : \iff \quad s = \emptyset$$

In other words, a dependence atom  $=(p_1 \dots, p_n, q)$  is true/supported in a team  $s$  if the values of  $p_i, \dots, p_n$  jointly determine the value of  $q$  in any valuation in the team.

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Expressive Completeness Theorem [YV16]

$PL(=())$  is expressively complete for downward-closed properties with the empty team property.

We show that  $PL(= (\cdot))$  is bicomplete for ground-complementary pairs:

$\phi$  and  $\psi$  are **ground-complementary** :

$$|\phi| = |\top| \setminus |\psi|$$

$P$  and  $Q$  are **ground-complementary** :

$$\bigcup P = |\top| \setminus \bigcup Q$$

(Intuitively,  $\phi$  and  $\psi$  are ground-complementary if the factual information expressed by one is the classical negation of the factual information expressed by the other.)

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We first show that  $\phi$  and  $\neg\phi$  are ground-complementary.



Define the **flattening**  $\phi^f$  of  $\phi$  by  $\phi^f := \phi(\top / (p_1 \dots, p_n, q))$  (i.e., replace each dependence atom by  $\top$ ). Clearly  $\phi^f \in PL$ .

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### Lemma

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Proof.

By induction on the complexity of  $\phi$ .

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$$\text{Base: } |\models(p_1 \dots, p_n, q)| = |\top| = |\models(p_1 \dots, p_n, q)^f| \text{ since } w \in \{w\} \models (p_1 \dots, p_n, q) \text{ for any } w, \text{ and } |\neg(p_1 \dots, p_n, q)| = |\perp| = |\neg(p_1 \dots, p_n, q))^f|.$$

Define the **flattening**  $\phi^f$  of  $\phi$  by  $\phi^f := \phi(\top / =(p_1 \dots, p_n, q))$  (i.e., replace each dependence atom by  $\top$ ). Clearly  $\phi^f \in PL$ .

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Let  $\phi = \psi \wedge \chi$ . We first show  $|\psi \wedge \chi| = |\psi| \cap |\chi|$ .  $|\psi \wedge \chi| \subseteq |\psi| \cap |\chi|$  is immediate; for the converse inclusion, let  $w \in |\psi| \cap |\chi|$ . Then  $w \in t \models \psi$  and  $w \in u \models \chi$ . By downward closure,  $\{w\} \models \psi$  and  $\{w\} \models \chi$ , so  $w \in |\psi \wedge \chi|$ . Then:

$$|\psi \wedge \chi| = |\psi| \cap |\chi| = |\psi^f| \cap |\chi^f| = \llbracket \psi^f \rrbracket \cap \llbracket \chi^f \rrbracket = \llbracket \psi^f \wedge \chi^f \rrbracket = \llbracket (\psi \wedge \chi)^f \rrbracket = |(\psi \wedge \chi)^f|.$$

Define the **flattening**  $\phi^f$  of  $\phi$  by  $\phi^f := \phi(\top / =(p_1 \dots, p_n, q))$  (i.e., replace each dependence atom by  $\top$ ). Clearly  $\phi^f \in PL$ .

For  $\alpha \in PL$ , define  $\llbracket \alpha \rrbracket := \{w \mid w \models \alpha\}$ . Clearly  $\llbracket \alpha \rrbracket = |\alpha|$  for  $\alpha \in PL$ .

### Lemma

For all  $\phi \in PL(=())$ ,  $|\phi| = |\phi^f|$ .

### Proof.

By induction on the complexity of  $\phi$ .

Base:  $|\top| = |\top| = |\top|$  since  $w \in \{w\} \models \top$  for any  $w$ , and  $|\perp| = |\perp| = |\perp|$  since  $w \in \{w\} \not\models \perp$  for any  $w$ .

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$$|\psi \vee \chi| = |\psi| \cup |\chi| = |\psi^f| \cup |\chi^f| = \llbracket \psi^f \rrbracket \cup \llbracket \chi^f \rrbracket = \llbracket \psi^f \vee \chi^f \rrbracket = \llbracket (\psi \vee \chi)^f \rrbracket = |(\psi \vee \chi)^f|.$$

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By the previous lemma:

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Cf. first-order dependence logic (this is essentially D-version of the matching pennies sentence):

$$|\forall x = (x)| = |\perp| \text{ (assuming models of size } \geq 2\text{); and } |\neg \forall x = (x)| = |\perp|$$

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Characteristic formulas for valuations and teams:

$$\chi_v^X := \bigwedge \{p \mid v \models p, p \in X\} \wedge \bigwedge \{\neg p \mid v \not\models p, p \in X\}$$

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$$\gamma_0^X := \perp, \gamma_1^X := \bigwedge_{p \in X} (p), \text{ and for } n \geq 2, \gamma_n^X := \bigvee_n \gamma_1.$$

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Characteristic formulas for downward-closed properties  $P$  with the empty team property (over finite  $X$ ):

$$P = \left\| \bigwedge_{s \in \|T\|_X \setminus P} \xi_s^X \right\|_X.$$



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Characteristic formulas for ground-complementary pairs  $(P, Q)$  of downward-closed properties with the empty team property (over finite  $X$ ):

$$(P, Q) = \left\| \bigwedge_{s \in \|T\|_X \setminus P} \xi_s^X \vee \neg \bigwedge_{s \in (\|T\|_X \setminus Q)^{>1}} \xi_s^X \right\|_X^{\pm}$$

where  $R^{>1} := \{s \in R \mid |s| > 1\}$ .

Burgess' intended his theorem to serve in part as a point against IF and Hintikka's philosophical ambitions:

*In recent years Hintikka and co-workers have revived a variant version of the logic of Henkin sentences under the label “independence-friendly” logic, have restated many theorems about existential second-order sentences for this “new” logic, and have made very large claims about the philosophical importance of the theorems thus restated. In discussion, pro and con, of such philosophical claims it has not been sufficiently emphasized that contrariety [dual negation], the only kind of “negation” available, fails to correspond to any operation on classes of models. For this reason it seemed worthwhile to set down, in the form of the corollary above, a clear statement of just how total the failure is.*

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Note, however, that Hintikka did also consider an extension of IF with the Boolean/contradictory negation  $\sim$  (*extended independence-friendly logic*), and that he ultimately viewed each negation as indispensable [Hin96]:

*...in any sufficiently rich language, there will be two different notions of negation present. Or if you prefer a different formulation, our ordinary concept of negation is intrinsically ambiguous. The reason is that one of the central things we certainly want to express in our language is the contradictory negation. But ... a contradictory negation is not self-sufficient. In order to have actual rules for dealing with negation, one must also have the dual negation present, however implicitly.*

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A common gloss has it that Burgess establishes or shows that the dual negation is “not a semantic operation”. But note that it is not Burgess’ theorem that establishes the “non-semantic” nature of the dual negation. The failure of the negation to correspond to any operation on classes of models is an easily observable, simple fact (e.g. in dependence logic:  $\neg \models(x, y) \equiv \perp$ , but  $\neg\neg \models(x, y) \not\equiv \neg\neg\perp$ ).

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Burgess’ theorem is a further fact pertaining to the *degree* of failure of determination. Our different incompatibility notions can be thought of as giving us a way of measuring this degree, with bicompleteness w.r.t. a stronger notion of incompatibility corresponding to less failure of determination:

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# Thank you!



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